List of competent authorities designated under Article 29 of Regulation 2017/2402 (the Securitisation Regulation or SECR) - as of 7 November 2023

Requirements of SECR falling under the supervision of the designated Competent Authorities	Supervision of compliance of insurance and reinsurance undertakings with the due duligence rules (Art. 5)	Supervision of compliance of alternative investment fund managers with the due diligence rules (Art. 5)	Supervision of compliance of UCITS and UCITS mangement companies with the due diligence rules (Art. 5)	Supervision of compliance of institutions for occupational retirement provision (IORP) with the due diligence rules (Art. 5)	Supervision of compliance of credit institutions or investment firms with the due diligence rules (Art. 5)	Supervision of compliance of sponsors with the risk retention rules (Art. 6), transparency rules (Art. 7), ban of resecuritisation (Art. 8) and credit granting rules (Art. 9)	Supervision of compliance of originators, original lenders and SSPEs with the risk retention rules (Art. 6), transparency rules (Art. 7), ban of resecuritisation (Art. 8) and credit granting rules (Art. 9)	Supervision of compliance of originators, original lenders and SSPEs - established in the Union and not covered by the Union legislative acts referred to in Art. 29(3) - with the risk retention rules (Art. 6), transparency rules (Art. 7), ban on resecuritisations (Art. 8) and credit granting rules (Art. 9)	Supervision of compliance of originators, sponsors and SSPEs with the STS requirements for cash securitisations (Art. 18 to 26) notification rules (Art. 27) and on balance (synthetic) securitisations (Art. 26a to 26e).	Supervision of compliance of third party verifying STS compliance with their initial conditions for authorisation (Art. 28).
Provisions of Art. 29 SECR	**Art. 29 (1) (a)**	**Art. 29 (1) (b)**	**Art. 29 (1) (c)**	**Art. 29 (1) (d)**	**Art. 29 (1) (e)**	**Art. 29 (2)**	**Art. 29 (3)**	**Art. 29 (4)**	**Art. 29 (5)**	**Art. 29 (5)**
Austria	Financial Market Authority (FMA)	FMA	FMA	FMA	FMA	FMA	FMA	FMA	FMA	FMA
Belgium	National Bank of Belgium (NBB)	Financial Services and Markets Authority (FSMA)	FSMA	FSMA	NBB (and ECB)	NBB (and ECB)	FSMA/NBB (and ECB)	[to be provided]	[to be provided]	[to be provided]
Bulgaria	Financial Supervision Commission (FSC)	FSC	FSC	FSC	Bulgarian National Bank (BNB) & FSC	BNB and FSC	BNB and FSC	N/A	BNB and FSC	FSC
Croatia	Croatian Financial Services Supervisory Agency (CFSSA)	CFSSA	CFSSA	CFSSA	CROATIAN NATIONAL BANK (CNB) / CFSSA CNB for the credit institutions and CFSSA for the investment firms authorised under MIFID	CNB / CFSSA CNB for sponsors (credit institutions) under its supervision and CFSSA for sponsors (investment firms authorised under MIFID) under its supervision	CFSSA / CNB CFSSA for all entities which fall under Art. 29 (1) except for credit institutions which are supervised by CNB	CFSSA / CNB CFSSA for all entities not covered by the Union legislative acts referred to in Art. 29 (3) except for SSPEs established by credit institutions that are supervised by CNB	CFSSA / CNB CFSSA for all entities except credit institutions and SSPEs established by credit institutions that are supervised by CNB.	CFSSA
Cyprus	The Superintendent of Insurance (SI)	Cyprus Securities & Exchange Commission (CySEC)	CySEC	Registrar of Occupational Retirement Benefits Funds (RORBF)	The Cyprus Central Bank (CBC) for the credit institutions and CySEC for the investment firms authorised under MiFID	The CBC for sponsors (credit institutions) under its supervision and CySEC for sponsors (investment firms authorised under MiFID) under its supervision.	CySEC for entities which fall under Art. 29(1)(b)-(c) of SECR and investment firms authorised under MiFID; SI for entities that fall under 29(1)(a) of SECR; RORBF for entities that fall under Art. 29(1)(d) ; CBC for credit institutions that fall under Directive 2013/36/EU.	CySEC	CySEC	CySEC
Czech Republic	Czech National Bank (ČNB)	ČNB	ČNB	ČNB	ČNB	ČNB	ČNB	ČNB	ČNB	ČNB
Denmark	Finanstilsynet (DFSA)	DFSA	DFSA	DFSA	DFSA	DFSA	DFSA	DFSA	DFSA	DFSA
Estonia	Finantsinspektsioon	Finantsinspektsioon	Finantsinspektsioon	Finantsinspektsioon	Finantsinspektsioon	Finantsinspektsioon	Finantsinspektsioon	Finantsinspektsioon and n/a	Finantsinspektsioon	Finantsinspektsioon
Finland	Finanssivalvonta (FIN-FSA)	FIN-FSA	FIN-FSA	FIN-FSA	FIN-FSA	FIN-FSA	FIN-FSA	FIN-FSA	FIN-FSA	FIN-FSA
France	Autorité de contrôle prudentiel et de résolution (ACPR)	Autorité des marchés financiers (AMF)	AMF	ACPR	ACPR for Less Significant Institutions (LSIs) ; ECB for Significant Credit Institutions (SCIs).	ACPR for LSIs; ECB for SCIs .	AMF for SSPE/originator or original lenders which are AIFs/AIFMD or UCITS/UCITS managers. ECB for SCIs : ACPR for LSIs ; ACPR for insurance undertakings.	AMF	AMF	AMF
Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	BaFin	BaFin	BaFin	BaFin (and ECB)	BaFin (and ECB)	BaFin (and ECB)	BaFin	BaFin	BaFin
Greece	Bank of Greece	Hellenic Capital Market Commission (HCMC)	HCMC	HCMC	Bank of Greece for credit institutions & HCMC for IFs	Bank of Greece for credit institutions & HCMC for IFs	Bank of Greece for credit institutions & HCMC for IFs	[to be provided]	Bank of Greece for credit institutions & HCMC for IFs	[to be provided]
Hungary	Central Bank of Hungary - Magyar Nemzeti Bank (MNB)	MNB	MNB	MNB	MNB	MNB	MNB	MNB	MNB	MNB
Ireland	Central Bank of Ireland (CBI)	CBI	CBI	The Pension Authority	CBI; ECB for Significant Credit Institutions (SCIs)	CBI; ECB for Significant Credit Institutions (SCIs)	CBI; ECB for Significant Credit Institutions (SCIs)	CBI	CBI	CBI
Italy	Istituto per la vigilanza sulle assicurazioni (IVASS)	BANCA D'ITALIA	BANCA D'ITALIA	Commissione di vigilanza sui Fondi Pensione (COVIP)	ECB for Significant Credit Institutions (SCIs) BANCA D'ITALIA	ECB for Significant Credit Institutions (SCIs) BANCA D'ITALIA	ECB for Significant Credit Institutions (SCIs) - BANCA D'ITALIA - where the originator or the original lender or the sponsor or the SSPE are banks, investment firms, management companies, or financial intermediaries entered in the register provided for by Art. 106 of the Consolidated Law on Banking. IVASS - where the originator or original lender is an insurance or reinsurance company. COVIP - where the originator is an institution for occupational retirement provision.	Commissione nazionale per le società e la borsa (CONSOB) - where neither the sponsor, the originator, the original provider nor the SSPE established in the Union are supervised entities (supervised entities shall mean those contemplated by the Union legislative acts referred to in Art. 29 (3) SECR. BANCA D'ITALIA, IVASS or COVIP where supervising entities involved in the securitisation in accordance with Art. 29 (2) and 29(3) of SECR.	CONSOB	CONSOB
Latvia	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka	Latvijas Banka
Lithuania	Bank of Lithuania (LB)	LB	LB	LB	LB	LB	LB	LB	LB	LB
Luxembourg	Commissariat aux Assurances (CAA)	Commission de Surveillance du Secteur Financier (CSSF)	CSSF	CAA/CSSF	CSSF (ECB for Significant Credit Institutions (SCIs))	CSSF (ECB for Significant Credit Institutions (SCIs))	CAA/CSSF (ECB for Significant Credit Institutions (SCIs))	CSSF	CSSF	CSSF
Malta	Malta Financial Services Authority (MFSA)	MFSA	MFSA	MFSA	MFSA for Less Significant Institutions (LSIs) & Investment Firms (IFs) ECB for Significant Credit Institutions (SCIs)	MFSA (and ECB)	MFSA (and ECB)	MFSA	MFSA	MFSA
Netherlands	Dutch National Bank (DNB)	Dutch Authority for the Financial Markets (AFM)	AFM	DNB	DNB and ECB for Significant Credit Institutions (SCIs)	DNB and ECB for Significant Credit Institutions (SCIs)	DNB and/or AFM ECB for Significant Credit Institutions (SCIs)	DNB for SSPEs linked to originators or sponsors that are under its supervision according to Art. 29 (3) of SECR. AFM for all other entities.	DNB	AFM
Poland	The KNF - Polish Financial Supervision Authority (KNF)	KNF	KNF	KNF	KNF	KNF	KNF	KNF	KNF	KNF
Portugal	Autoridade de Supervisão de Seguros e Fundos de Pensões - Portuguese Insurance and Pension Funds Supervisory Authority (ASF)	Comissão do Mercado de Valores Mobiliários - Portuguese Securities Market Commission (CMVM)	CMVM	ASF	CMVM for investment firms. Banco de Portugal for credit institutions. ECB for significant credit institutions, in accordance with Art. 6(4) of the SSM Regulation	CMVM for sponsors that are investment firms. Banco de Portugal for credit institutions, ECB for significant credit institutions, in accordance with Art. 6(4) of the SSM Regulation	CMVM and ASF and Banco de Portugal. ECB for Significant credit institutions, in accordance with Art. 6(4) of the SSM Regulation	CMVM	BP and CMVM	CMVM
Romania	Financial Supervisory Authority (ASF)	ASF	ASF	ASF	ASF (investment firms); National Bank of Romania (NBR) for credit institutions	ASF for sponsors that are investment firms; NBR for sponsors that are credit institutions	ASF for special securitisation purpose entities (SSPE); ASF for originators and original lenders (if they are entities under the supervision of ASF), NBR for credit institutions in their role as originators and original lenders	ASF for SSPE and for originators and original lenders (if they are entities under the supervision of ASF)	Art: 18 to 27: ASF for SSPE, sponsors (that are investment firms) and for originators (if they are entities under the supervision of ASF) Art 26a to 26e : ASF	ASF for third party, if the entity which uses the services of that third party, according to Art. 27 (2) SECR, is an entity under the supervision of ASF
Slovakia	National Bank of Slovakia (NBS)	NBS	NBS	NBS	NBS (and ECB)	NBS (and ECB)	NBS (and ECB)	NBS	NBS	NBS
Slovenia	Insurance Supervision Agency (ISA)	Securities Market Agency (SMA)	SMA	ISA and Bank of Slovenia (BS)	SMA and BS	SMA and BS	ISA, SMA and BS	SMA	ISA, SMA and BS	SMA
Spain	Dirección General de Seguros y Fondos de Pensiones (DGSFP)	Comisión Nacional de Mercado de Valores (CNMV)	CNMV	DGSFP	CNMV and Banco de España. ECB for significant credit institutions, in accordance with Art. 6(4) of the SSM Regulation	CNMV and Banco de España. ECB for significant credit institutions acting as sponsors, in accordance with Art. 6(4) of the SSM Regulation.	CNMV, DGSFP and Banco de España. ECB for significant credit institutions acting as originator and/or original lender, in accordance with Art. 6(4) of the SSM Regulation.	CNMV. Banco de España for originators/original lenders under its supervision	CNMV. Banco de España for credit institutions or originators/original lenders under its supervision.	CNMV
Sweden	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen	Finansinspektionen
ECB	NA	NA	NA	NA	Only for significant credit institutions, in accordance with Art. 6(4) of the SSM Regulation	Only for significant credit institutions acting as sponsors, in accordance with Art. 6(4) of the SSM Regulation	Only for significant originators and original lenders, in accordance with Art. 6(4) of the SSM Regulation	NA	NA	NA
EEA countries										
Iceland	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]
Liechtenstein	Financial Markets Authority (FMA)	FMA	FMA	FMA	FMA	FMA	FMA	FMA	FMA	FMA
Norway	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]	[to be provided]